Instructions for Completing the

Rejection of Coverage

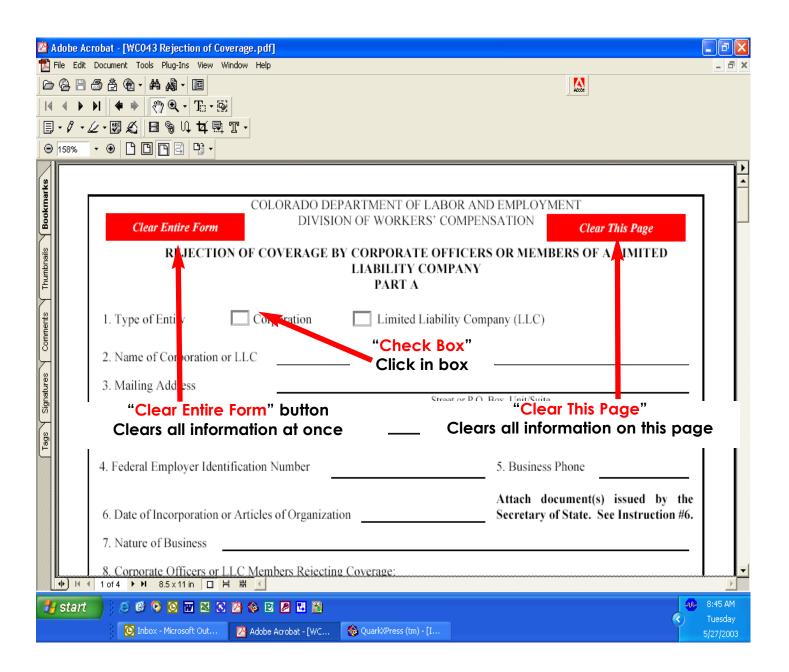
Please read all pages

This form is "fillable." That means you can type the information onto the form from your computer and print the form. You will <u>not</u> be able to save the form onto your computer's hard drive.

When you open the form, click in the appropriate check box (field), and use the tab key to navigate to the next field. To fill in a check box, click inside the box with your mouse. Do not use the Enter key; pressing the Enter key will only page down. Each field has been limited. This means that you <u>cannot</u> continue to type information into a field if it doesn't fit into the space provided.

Use numbers <u>only</u> to fill in the fields for Social Security # and Business Phone. Do not use dashes or parentheses; when you tab out of the field, it will fill in automatically.

To clear or delete all the information you have typed onto the form, click on the red "Clear Entire Form" button. To clear all information on a single page, click on the red "Clear This Page" button. To change the information in a single field, use the backspace or delete key.



COLORADO DEPARTMENT OF LABOR AND EMPLOYMENT DIVISION OF WORKERS' COMPENSATION

REJECTION OF COVERAGE BY CORPORATE OFFICERS OR MEMBERS OF A LIMITED LIABILITY COMPANY (LLC)

PART A

1. Type of Entity Corporation	☐ Limited Liability	Company (LLC)			
2. Name of Corporation or LLC					
3. Mailing Address	Street or P.O. Box, Unit/Suite				
	City	State	Zip		
4. Nature of Business					
5. Federal Employer Identification Number	nber 6. Business Phone				
7. Date of Incorporation or Organization	8. State of Incorporation or Organization				
9. Corporate Officers or LLC Members Rejections Name(s) First Middle Last	Suffix (Jr., Sr., III)	<u>Title(s)</u>	Percent of Ownership/ Membership Interest		
10. Number of employees of the corporation above 11A. Does your company have workers' corporation.	· · · · · · · · · · · · · · · · · · ·				
11B. If you answered "Yes" to Question 11 and submit this completed form directly completed form directly to the Colorad	A, please include your worke y to your carrier. If you answ	ers' compensation policy is ered "No" to Question 11			
a. Insurer Name	b.]	Policy Number			
c. Effective Dates From	To				
I,Name of Corporate Secretary or LLC M	, in my cap	pacity as Corporate Secret	tary or LLC Manager		
ofName of Corporation or LLC	, certify that the above and	attached information is o	correct and complete.		
Signature of Corp	oorate Secretary or LLC Manager		Date		

C.R.S. Section 10-1-128(6)(a) states: "It is unlawful to knowingly provide false, incomplete, or misleading facts or information to an insurance company for the purpose of defrauding or attempting to defraud the company. Penalties may include imprisonment, fines, denial of insurance, and civil damages. Any insurance company or agent of an insurance company who knowingly provides false, incomplete or misleading facts or information to a policyholder or claimant for the purpose of defrauding or attempting to defraud the policyholder or claimant with regard to a settlement or award payable from insurance proceeds shall be reported to the Colorado division of insurance within the department of regulatory agencies."

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COLORADO DEPARTMENT OF LABOR AND EMPLOYMENT DIVISION OF WORKERS' COMPENSATION

REJECTION OF COVERAGE BY CORPORATE OFFICERS OR MEMBERS OF A LIMITED LIABILITY COMPANY (LLC)

PART B - Corporate Officer or LLC Member Questionnaire

IMPORTANT: A separate Part B MUST be completed by every person listed in Part A.

1. Name of Corporation or LLC					
2. Mailing Address	Street or P.O. Box, Unit/Suite				
		City	S	tate Zip	
3. Officer or Member Name	First	Middle	Last	Suffix (Jr., Sr., III)	
4. Corporate Officer Title			5. Business Phone		
6. Date Officer/Member Elected					
7. Duties performed for Corporation	n or LLC				
8. Mark ONE that Applies:					
By signing this form, you ar are further acknowledging to membership interest of the last LLC. The election to reject and cannot be a condition o	that you are an ov LLC at all times, <u>a</u> workers' compe f your employme	wner of at least 10% of and control, supervise on astion insurance as a ont.	the stock of the corpora r manage the business at	tion or at least 10% of the ffairs of the corporation or	
Corporate Officer/LL	C Member Signatur	e -	D	ate	
9. Notary					
Subscribed and sworn to be befor	e this da	y of	,		
SEAL		Notary Public			
		In and for	(County	
		and		_State	
		My commission exp	pires		

C.R.S. Section 10-1-128(6)(a) states: "It is unlawful to knowingly provide false, incomplete, or misleading facts or information to an insurance company for the purpose of defrauding or attempting to defraud the company. Penalties may include imprisonment, fines, denial of insurance, and civil damages. Any insurance company or agent of an insurance company who knowingly provides false, incomplete or misleading facts or information to a policyholder or claimant for the purpose of defrauding or attempting to defraud the policyholder or claimant with regard to a settlement or award payable from insurance proceeds shall be reported to the Colorado division of insurance within the department of regulatory agencies."

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INSTRUCTIONS/DEFINITIONS

General Instructions: Complete all information. Type or legibly print. **A separate questionnaire, Part B, must be completed and attached for each officer/member rejecting coverage.** Incomplete forms may not be processed and may be returned. Mail the forms by certified mail to the insurance carrier *or* the Division of Workers' Compensation per the below mailing instructions.

The effective date of election is the day following receipt of said notice by the insurance carrier or the Division. If an officer or limited liability company member changes his/her election, a revised questionnaire must be filed.

Part A

- **1. Type of Entity:** Check the appropriate box to indicate if the company is a corporation or a limited liability company (LLC).
- 2. Name of Corporation or LLC: List the legal name of the corporation or LLC as filed with the Secretary of State.
- **3. Mailing Address:** List the complete business mailing address of the corporation or LLC including Street or P.O. Box, Suite Number, City, State, and Zip Code.
- **4. Nature of Business:** Briefly describe the type and nature of business conducted by the corporation or LLC.
- **5. Federal Employer Identification Number:** List the 9-digit Federal Employer Identification Number assigned to the corporation or LLC by the Internal Revenue Service.
- **6. Business Phone:** List the telephone number of the Corporate Secretary or LLC Manager signing Part A of the form.
- **7. Date of Incorporation or Organization:** List the date of incorporation for a corporation or the date of filing of Articles of Organization for an LLC.
- **8. State of Incorporation or Organization:** List the state where the corporation is incorporated or where the LLC filed its Articles of Organization.
- 9. Corporate Officers or LLC Members Rejecting Coverage: List the full name of the person(s) rejecting coverage. Please include first, middle, last, and suffix (if applicable). Include title or titles, and the percent of corporate ownership or membership interest in the company for each corporate officer or LLC member electing to reject workers' compensation coverage. Under C.R.S. §8-41-202(4), "corporate officer" means "the chairperson of the board, president, vice-president, secretary, or treasurer who is an owner of at least ten percent of the stock of the corporation and who controls, supervises or manages the business affairs of the corporation, as attested to by the secretary of the corporation at the time of the election." Corporate officers and LLC members must own at least 10% of the membership interest in the company at all times and control, supervise or manage the business affairs of the limited liability company to be eligible to reject coverage. Attach separate sheet if more space is needed.
- 10. Number of employees of the corporation or LLC *other* than officers or members listed above: List the number of employees other than officers or members listed under #9. Any person who is an employee of the corporation or LLC, who is not a corporate officer or LLC member electing to reject coverage, **must** be insured for workers' compensation.
- **11A. Does your company have workers' compensation insurance?** Place a check in the appropriate space indicating whether the business has Workers' Compensation insurance.
- **11B.** If "Yes" to Question 11A, provide Workers' Compensation insurance policy information: If your business has Workers' Compensation insurance, list the name of the insurance carrier (insurer), the complete current policy number, and the effective dates of the current policy.
- 12. Certification: Only the Corporate Secretary or LLC Manager shall sign and date Part A certifying that the information contained on the form is correct and complete. If a Corporate Secretary has not been named, the President may sign in lieu of the Corporate Secretary. Type or legibly write the name of the Corporate Secretary or LLC Manager and the name of the corporation or LLC.

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Part B, Corporate Officer or LLC Member Questionnaire

To be completed by <u>each</u> Officer or Member electing to reject workers' compensation insurance coverage or rescinding a previous election.

- 1. **Name of Corporation or LLC:** List the legal name of the corporation or LLC as filed with the Secretary of State.
- 2. **Mailing Address:** List the complete business mailing address of the corporation or LLC including Street or P.O. Box, Suite Number, City, State, and Zip Code.
- 3. **Officer or Member Name:** List the name of the individual corporate officer or LLC member completing Part B. List the full name of the person rejecting coverage. Please include first, middle, last, and suffix (if applicable).
- 4. **Corporate Officer Title:** List the title of the individual corporate officer completing Part B. If an LLC member is completing Part B, leave blank.
- 5. **Business Phone:** List the business telephone number of the individual corporate officer or LLC member completing Part B.
- 6. **Date Officer/Member Elected:** List the date the individual corporate officer or LLC member completing Part B was elected to the position.
- 7. **Duties performed for Corporation or LLC:** Briefly describe the *specific* duties performed for the corporation or LLC by the individual corporate officer or LLC member completing Part B.
- 8. **Mark ONE that Applies:** Check the appropriate box to indicate if the individual corporate officer or LLC member completing Part B is rejecting worker's compensation coverage or rescinding a previously filed rejection of coverage. The individual rejecting coverage or rescinding coverage **must** sign and date Part B. If the rescinding option is selected, Part A need not be completed.
- 9. **Notary:** The signature of the individual corporate officer or LLC member completing Part B must be notarized.
- 10. **Copy of form:** You may wish to keep a copy of all forms for your records before submitting the original forms.

Mailing Instructions

<u>Insured:</u> If the corporation or LLC <u>has</u> a workers' compensation insurance carrier, file this form by certified mail directly with your insurance carrier.

<u>Noninsured:</u> If there is <u>no</u> workers' compensation insurance carrier, file this form by certified mail with the Division of Workers' Compensation at the following address:

Division of Workers' Compensation Coverage Enforcement Unit 633 17th St., Suite 400 Denver, CO 80202-3626 303.318.8700

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